

Case Tracking System  
Case History Report

Date: February 3, 2003

---

**Patent Case**

Case Number: AT9-98-266

Status: Pending

Application Serial Number: 09/204,973

Filing Date: 12/3/1998

Attorney Id: DWY

Administrator Id: KDD

Title: **Method and Apparatus for Applying Business Rules in an Object Model Driven Context**

Item	Due	Completed
Application Filing		12/3/1998
Assignment Filing	12/3/1998	12/3/1998
Formal Drawings	2/3/1999	3/12/1999
Filing Postcard	2/3/1999	Received
Assignment Postcard	2/3/1999	Received
Filing Receipt	3/3/1999	1/11/1999
Assignment Recording	3/3/1999	12/3/1998
Office Action Received - USA	10/30/2001	10/30/2001
Respond to Office Action - USA	1/30/2002	1/30/2002
Office Action Postcard - USA	3/30/2002	Received

**IBM CORPORATION  
AT9-98-266**

**METHOD AND APPARATUS FOR  
APPLYING BUSINESS RULES IN AN OBJECT MODEL DRIVEN CONTEXT**

**INDEX**

---

1.	PATENT APPLICATION	12/03/98
2.	FILING RECEIPT	01/11/99
3.	REQUEST FOR CORRECTED FILING RECEIPT	01/12/99
4.	PRELIMINARY AMENDMENT	02/01/99
5.	LETTER TO THE OFFICIAL DRAFTSMAN	03/12/99
6.	NOTICE OF RECORDATION	03/22/99
7.	CORRECTED FILING RECEIPT	05/03/99
8.	OFFICE ACTION	10/30/01
9.	RESPONSE TO OFFICE ACTION	01/30/02
10.	NOTICE OF ABANDONMENT AND COPY OF FINAL OFFICE ACTION DATED 05/07/02 FAXED BY EXAMINER	12/16/02

KDD 2/3/2003

Received from < 9723672002 > at 2/4/03 3:40:40 PM [Eastern Standard Time]

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ehnebuske et al.

Serial No.: 09/204,973

Filed: December 3, 1998

For: Method and Apparatus for  
Applying Business Rules in an Object  
Model Driven Context

35525

PATENT TRADEMARK OFFICE

§  
§  
§  
§  
§  
§

Group Art Unit: 2124

Examiner: Ingberg, Todd D.

Attorney Docket No.: AT9-98-266

Certificate of Transmission Under 37 C.F.R. § 1.8(a)	
I hereby certify this correspondence is being transmitted via facsimile to the Assistant Commissioner of Patents, Washington, D.C. 20231, facsimile number (703) 746-7238 on <u>February 4, 2003</u>	
By: <u>Rebecca Clayton</u>	
Rebecca Clayton	

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Petition to Withdraw Holding of Abandonment;
- Affidavit from Krista Douthitt, Paralegal;
- Case History Report;
- Copy of case file index; and
- Notice of Appeal.

A fee of \$320.00 is believed to be necessary. Please charge this fee to IBM Corporation Deposit Account No. 09-0447. In the event that any additional fees are required for the prosecution of this application, please charge any necessary fees to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested and the fee for this extension should be charged to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

CARSTENS, YEE &amp; CAHOON, LLP

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

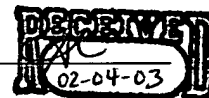
ATTORNEY FOR APPLICANTS

**Carstens,  
Yee &  
Cahoon, L.L.P.**

13760 Noel Road  
Suite 900  
Dallas, Texas 75240

Main No. (972) 367-2001  
Facsimile (972) 367-2002

**Official**



## Facsimile Cover Sheet

To: Assistant Commissioner of Patents for <b>Examiner Todd D. Ingberg</b> Group Art Unit 2124	Facsimile No.: 703/746-7238
From: Rebecca Clayton Legal Assistant to Stephen J. Walder, Jr.	No. of Pages Including Cover Sheet: 9
Message: Enclosed herewith: <ul style="list-style-type: none"><li>• Transmittal Document;</li><li>• Petition to Withdraw Holding of Abandonment;</li><li>• Affidavit from Krista Douthitt, Paralegal;</li><li>• Case History Report;</li><li>• Copy of case file index; and</li><li>• Notice of Appeal.</li></ul>	
Re: Application No. 09/204,973 Attorney Docket No: AT9-98-266	
Date: Tuesday, February 04, 2003	
<b>Please contact us at (972) 367-2001 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.</b>	<i>This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.</i>

**PLEASE CONFIRM RECEIPT OF THIS TRANSMISSION  
BY FAXING A CONFIRMATION TO 972-367-2002.**